

# Microwave and submillimeter molecular transitions and their dependence on fundamental constants

Mikhail G. Kozlov<sup>1,2</sup> and Sergei A. Levshakov<sup>2,3,\*</sup>

Received 22 January 2013, revised 26 February 2013, accepted 18 March 2013 Published online 30 April 2013

Microwave and submillimeter molecular transition frequencies between nearly degenerated rotational levels, tunneling transitions, and mixed tunneling-rotational transitions show an extremely high sensitivity to the values of the finestructure constant,  $\alpha$ , and the electron-to-proton mass ratio,  $\mu$ . This review summarizes the theoretical background on quantum-mechanical calculations of the sensitivity coefficients of such transitions to tiny changes in  $\alpha$  and  $\mu$  for a number of molecules which are usually observed in Galactic and extragalactic sources, and discusses the possibility of testing the space- and time-invariance of fundamental constants through comparison between precise laboratory measurements of the molecular rest frequencies and their astronomical counterparts. In particular, diatomic radicals CH, OH, NH<sup>+</sup>, and a linear polyatomic radical C<sub>3</sub>H in  $\Pi$  electronic ground state, polyatomic molecules NH<sub>3</sub>, ND<sub>3</sub>, NH<sub>2</sub>D, NHD<sub>2</sub>, H<sub>2</sub>O<sub>2</sub>, H<sub>3</sub>O<sup>+</sup>, CH<sub>3</sub>OH, and CH<sub>3</sub>NH<sub>2</sub> in their tunneling and tunneling-rotational modes are considered. It is shown that sensitivity coefficients strongly depend on the quantum numbers of the corresponding transitions. This can be used for astrophysical tests of Einstein's Equivalence Principle all over the Universe at an unprecedented level of sensitivity of  $\sim 10^{-9}$ , which is a limit three to two orders of magnitude lower as compared to the current constraints on cosmological variations of  $\alpha$  and  $\mu$ :  $\Delta \alpha / \alpha < 10^{-6}$ ,  $\Delta \mu / \mu < 10^{-6}$  $10^{-7}$ .

### 1 Introduction

The fundamental laws of particle physics, in our current understanding, depend on 28 constants including the gravitational constant, *G*, the mass,  $m_e$ , and charge, *e*, of the electron, the masses of six quarks,  $m_u$ ,  $m_d$ ,  $m_c$ ,  $m_s$ ,  $m_t$ , and  $m_b$ , the Planck constant,  $\hbar$ , the Sommerfeld constant  $\alpha$ , the coupling constants of the weak,  $g_w$ , and strong,  $g_s$ , interactions, etc. The numerical values of these constants are not calculated within the Standard Model and remain, as Feynman wrote about the fine structure constant  $\alpha$  in 1985, "one of the greatest mysteries of physics" [1]. However, it is natural to ask whether these constants are really constants, or whether they vary with the age of the universe, or over astronomical distances.

The idea that the fundamental constants may vary on the cosmological time scale has been discussing in different forms since 1937, when Milne and Dirac argued about possible variations of the Newton constant G during the lifetime of the universe [2, 3]. Over the past few decades, there have been extensive searches for persuasive evidences of the variation of physical constants. So far, there was found no one of them. The current limits for dimensionless constants such as the fine structure constant,  $\alpha = e^2/\hbar c$ , and the electron to proton mass ratio,  $\mu = m_{\rm e}/m_{\rm p}$ , obtained in laboratory experiments and from the Oklo natural reactor are on the order of one part in  $10^{15} - 10^{17}$  [4–6] and one part in  $10^{14} - 10^{16}$  [7–9] per year, respectively. The detailed discussion of ideas behind laboratory experiments can be found in a review [10].

Assuming that the constants are linearly dependent on the cosmic time, the same order of magnitude constraints on the fractional changes in  $\Delta \alpha / \alpha = (\alpha_{\rm obs} - \alpha_{\rm lab})/\alpha_{\rm lab}$  and in  $\Delta \mu / \mu = (\mu_{\rm obs} - \mu_{\rm lab})/\mu_{\rm lab}$  are stemming from astronomical observations of extragalactic objects at redshifts  $z \sim 1-5$  [11–15]. Less stringent constraints at a percent level have been obtained from the cosmic microwave background (CMB) at  $z \sim 10^3$  [16–18] and big bang nucleosynthesis (BBN) at  $z \sim 10^{10}$ 

<sup>\*</sup> Corresponding author E-mail: lev@astro.ioffe.rssi.ru

<sup>&</sup>lt;sup>1</sup> Petersburg Nuclear Physics Institute, 188300 Gatchina

 <sup>&</sup>lt;sup>2</sup> St. Petersburg Electrotechnical University "LETI", Prof. Popov Str. 5, 197376 St. Petersburg

<sup>&</sup>lt;sup>3</sup> Ioffe Physical-Technical Institute, Polytekhnicheskaya Str. 26, 194021 St. Petersburg



[19,20]. We note that space and/or time dependence of  $\alpha$  based on optical spectra of quasars and discussed in the literature [21, and references therein] is still controversial and probably caused by systematic effects since independent radio-astronomical observations, which are more sensitive, show only null results for both  $\Delta \alpha / \alpha$  and  $\Delta \mu / \mu$  [22,23].

Surprisingly, it looks as if the Einstein heuristic principle of local position invariance (LPI) — *the outcome of any local non-gravitational experiment is independent of where and when in the universe it is performed* — is valid all over the universe, i.e., at the level of  $\sim 10^{-6}$  neither  $\alpha$ no  $\mu$  deviate from their terrestrial values for the passed  $10^{10}$  yr. In the Milky Way, it was also found no statistically significant deviations of  $\Delta \mu / \mu$  from zero at even more deeper level of  $\sim 10^{-8}$  [24–26].

However, the violation of the LPI was predicted in some theoretical models such as, for example, the theory of superstrings which considers time variations of  $\alpha$ ,  $g_{\rm w}$ , and the QCD scale  $\Lambda_{\rm QCD}$  (i.e.,  $\mu$  since  $m_{\rm p} \propto \Lambda_{\rm QCD}$ ) and thereby opening a new window on physics beyond the Standard Model [27, and references therein]. If the fundamental constants are found to be changing in space and time, then they are not absolute but dynamical quantities which follow some deeper physical laws that have to be understood. Already present upper limits on the variation of the fundamental constants put very strong constraints on the theories beyond the Standard Model [28, and references therein]. This motivates the need for more precise laboratory and astronomical tests of the LPI. Of course, there are also other attempts to look for the new physics. For example the electric dipole moments (EDMs) of the elementary particles are very sensitive to the different extensions of the Standard Model. Present limit on the EDM of the electron significantly constrains supersymmetrical models and other theories [29, 30].

In this review we will consider tests of LPI which are based on the analysis of microwave and submillimeter<sup>1</sup> astronomical spectra and which are essentially more sensitive to small variations in  $\alpha$  and  $\mu$  than the test based on optical spectral observations of quasars.

# 2 Differential measurements of $\Delta \alpha / \alpha$ and $\Delta \mu / \mu$ from atomic and molecular spectra of cosmic objects

Speaking about stable matter, as, for example, atoms and molecules, we have only seven physical constants that describe their spectra [31]:

 $G, \Lambda_{\text{QCD}}, \alpha, m_{\text{e}}, m_{\text{u}}, m_{\text{d}}, m_{\text{s}}.$ 

The QCD scale parameter  $\Lambda_{\rm QCD}$  and the masses of the light quarks u, d, and s contribute to the nucleon mass  $m_{\rm p}$  (with  $\Lambda_{\rm QCD} \gg m_{\rm u} + m_{\rm d} + m_{\rm s}$ ) and, thus, the electron-to-proton mass ratio  $\mu$  is a physical constant characterizing the strength of electroweak interaction in terms of the strong interaction.

In the nonrelativistic limit and for an infinitely heavy pointlike nucleus all atomic transition frequencies are proportional to the Rydberg constant, *R*, and the ratios of atomic frequencies do not depend on any fundamental constants. Relativistic effects cause corrections to atomic energy, which can be expanded in powers of  $\alpha^2$  and  $\alpha^2 Z^2$ , the leading term being  $\alpha^2 Z^2 R$ , where *Z* is atomic number. Corrections accounting for the finite nuclear mass are proportional to  $R\mu/Z$ , but for atoms they are much smaller than relativistic corrections.

Astronomical differential measurements of the dimensionless constants  $\alpha$  and  $\mu$  are based on the comparison of the line centers in the absorption/emission spectra of cosmic objects and the corresponding laboratory values. It follows that the uncertainties of the laboratory rest frequencies and the line centers in astronomical spectra are the prime concern of such measurements. It is easy to estimate the natural bounds set by these uncertainties on the values of  $\Delta \alpha / \alpha$  and  $\Delta \mu / \mu$ .

Consider the dependence of an atomic frequency  $\omega$  on  $\alpha$  in the comoving reference frame of a distant object located at redshift *z* [32, 33]:

$$\omega_z = \omega + qx + O(x^2), \quad x \equiv (\alpha_z/\alpha)^2 - 1. \tag{1}$$

Here  $\omega$  and  $\omega_z$  are the frequencies corresponding to the present-day value of  $\alpha$  and to a change  $\alpha \rightarrow \alpha_z$  at a red-shift *z*. In this relation, the so-called *q* factor is an individual parameter for each atomic transition.

If  $\alpha_z \neq \alpha$ , the quantity *x* in (1) differs from zero and the corresponding frequency shift  $\Delta \omega = \omega_z - \omega$  is given by

$$\frac{\Delta\omega}{\omega} = Q \frac{\Delta\alpha}{\alpha},\tag{2}$$

<sup>&</sup>lt;sup>1</sup> The frequency range 1 GHz  $\leq \nu \leq 300$  GHz is usually referred to as a microwave range. Molecular transitions below 1 GHz (wavelength  $\lambda > 30$  cm) are from a low-frequency range which is restricted by the ionospheric cut-off at 10 MHz ( $\lambda = 30$  m).

where  $Q = 2q/\omega$  is the dimensionless sensitivity coefficient and  $\Delta \alpha = (\alpha_z - \alpha)/\alpha$  is the fractional change in  $\alpha$ . Here we assume that  $|\Delta \alpha/\alpha| \ll 1$ . The condition  $\alpha_z \neq \alpha$  leads to a change in the apparent redshift of the distant object  $\Delta z = \tilde{z} - z$ .

$$\frac{\Delta\omega}{\omega} = -\frac{\Delta z}{1+z} \equiv \frac{\Delta v}{c} , \qquad (3)$$

where  $\Delta v$  is the Doppler radial velocity shift.

If  $\omega'$  is the observed frequency from the distant object, then the true redshift is given by

$$1 + z = \frac{\omega_z}{\omega'},\tag{4}$$

whereas the shifted (apparent) value is

$$1 + \tilde{z} = \frac{\omega}{\omega'} \,. \tag{5}$$

Now, if we have two lines of the same element with the apparent redshifts  $\tilde{z}_1$  and  $\tilde{z}_2$  and the corresponding sensitivity coefficients  $Q_1$  and  $Q_2$ , then

$$\Delta Q \frac{\Delta \alpha}{\alpha} = \frac{\tilde{z}_1 - \tilde{z}_2}{1 + z} = \frac{\Delta \nu}{c} \,. \tag{6}$$

Here  $\Delta v = v_1 - v_2$  is the difference of the measured radial velocities of these lines, and  $\Delta Q = Q_2 - Q_1$  is the corresponding difference between their sensitivity coefficients. By comparing the apparent redshifts of two lines with different sensitivity coefficients Q we can study variation of  $\alpha$  on a cosmological timescale.

Unfortunately, optical and UV transitions of atoms and molecules are not very sensitive to changes in  $\alpha$  and  $\mu$ . The sensitivity coefficients of atomic resonance transitions of usually observed in quasar spectra chemical elements (C, N, O, Na, Mg, Al, Si, S, Ca, Ti, Cr, Mn, Fe, Co, Ni, Zn) are very small,  $Q \sim (\alpha Z)^2 \ll 1$  [34]. The same order of magnitude sensitivity coefficients to  $\mu$  variations have been calculated for the UV transitions in the Lyman and Werner bands of molecular hydrogen H<sub>2</sub> [35–37], and for the UV transitions in the 4*th* positive band system  $A^1\Pi - X^1\Sigma^+$  of carbon monoxide CO [38].

Small values of Q and  $\Delta Q$  put tough constraints on optical methods to probe  $\Delta \alpha / \alpha$  and  $\Delta \mu / \mu$ . Let us consider an example of Fe II lines arising from the ground state  $3d^6({}^5D)4s$ . In quasar spectra we observe 7 resonance transitions ranging from 1608 Å to 2600 Å with both signs sensitivity coefficients:  $Q_{\lambda 1608} =$ -0.0322,  $Q_{\lambda 1611} = +0.0502$ , and  $Q \simeq +0.08$  for transitions with  $\lambda > 2000$  Å [39, note a factor of two difference in the definition of the coefficients Q with the present work]. This gives us the maximum value of  $\Delta Q \simeq 0.11$  which is known with an error of ~30%. From (6) it follows that a variance of  $\Delta \alpha / \alpha \sim 10^{-5}$  would induce a velocity offset  $\Delta v \simeq 0.3$  km s<sup>-1</sup> between the 1608 Å line and any of the line with  $\lambda > 2000$  Å. We may neglect uncertainties of the rest frame wavelengths since they are ~0.02 km s<sup>-1</sup> [40]. If both iron line centers are measured in quasar spectra with the same error  $\sigma_v$ , then the error of the offset  $\Delta v$  is  $\sigma_{\Delta v} = \sqrt{2}\sigma_v$ . The error  $\sigma_{\Delta v}$  is a statistical estimate of the uncertainty of  $\Delta v$ , and, hence, it should be less than the absolute value of  $\Delta v$ . This gives us the following inequality to adjust parameters of spectral observations required to probe  $\Delta \alpha / \alpha$  at a given level:

$$\sigma_{\nu} < \frac{\Delta Q}{\sqrt{2}} \frac{\Delta \alpha}{\alpha} c \,. \tag{7}$$

At  $\Delta \alpha / \alpha \sim 10^{-5}$ , the required position accuracy should be  $\sigma_{\nu} \lesssim 0.25$  km s<sup>-1</sup>. A typical error of the line center of an unsaturated absorption line in guasar spectra is about 1/10th of the pixel size (the wavelength interval between pixels) [41]. Current observations with the UV-Visual Echelle Spectrograph (UVES) at the ESO Very Large Telescope (VLT) provide a pixel size  $\Delta \lambda_{pix} \sim 0.05 -$ 0.06 Å, i.e., at  $\lambda \sim 5000$  Å the expected error  $\sigma_v$  should be  $\sim$ 0.3 km s<sup>-1</sup>, which is comparable to the velocity offset due to a fractional change in  $\alpha$  at the level of  $10^{-5}$ . Such a critical relationship between the 'signal' (expected velocity offset  $\Delta v$ ) and the error  $\sigma_v$  hampers measuring  $\Delta \alpha / \alpha$ at the level of  $\sim 10^{-5}$  from any absorption system taking into account all imperfections of the spectrograph and the data reduction procedure. Systematic errors exceeding  $0.5 \text{ km s}^{-1}$  are known to be typical for the wavelength calibration in both the VLT/UVES and Keck/HIRES spectrographs [12,42-44]. At this level of the systematic errors an estimate of  $\Delta \alpha / \alpha$  from any individual absorption-line system must be considered as an upper limit but not a 'signal'. Otherwise, a formal statistical analysis of such values may lead to unphysical results (examples can be found in the literature).

The UV molecular spectra of H<sub>2</sub> and CO observed at high redshifts in the optical wavelength band encounter with similar difficulties and restrictions. The maximum difference between the sensitivity coefficients in case of H<sub>2</sub> is  $\Delta Q \sim 0.06$ , the rest frame wavelength uncertainties are negligible,  $\sim 5 \times 10^{-9}$  [45], and with the current spectral facilities at giant telescopes it is hard to get estimates of  $\Delta \mu / \mu$  at a level deeper than  $10^{-5}$ . For carbon monoxide such measurements have not been done so far but the expected limit on  $\Delta \mu / \mu$  should be  $\gtrsim 10^{-5}$  since CO lines are much weaker than H<sub>2</sub> [46] and therefore their line centers are less certain. The analogue of Eq. (6) for the  $\mu$ -estimation from a pair of molecular lines is [47]:

$$\frac{\Delta\mu}{\mu} = \frac{\Delta\nu}{c\Delta Q} = \frac{\nu_1 - \nu_2}{c(Q_2 - Q_1)},$$
(8)

and for a given level of  $\Delta \mu / \mu$ , molecular line centers should be measured with an error

$$\sigma_v < \frac{\Delta Q}{\sqrt{2}} \frac{\Delta \mu}{\mu} c \,. \tag{9}$$

This means that at  $\Delta \mu/\mu \sim 10^{-5}$ , the required position accuracy should be  $\sigma_{\nu} \lesssim 0.13$  km s<sup>-1</sup>, or the pixel size  $\Delta \lambda_{\rm pix} \lesssim 0.017$  Å at 4000 Å. This requirement was realized in the VLT/UVES observations of the quasar Q0347–383 [48] where a limit on  $\Delta \mu/\mu$  of  $(4.3 \pm 7.2) \times 10^{-6}$  was set.

At present the only way to probe variation of the fundamental constants on the cosmological timescale at a level deeper than  $10^{-5}$  is to switch from optical to far infrared and microwave bands. In the microwave, or submillimeter range there are a good deal of molecular transitions arising in Galactic and extragalactic sources. Electronic, vibrational, and rotational energies in molecular spectra are scaled as  $E_{el}$ :  $E_{vib}$ :  $E_{rot} = 1$ :  $\mu^{1/2}$ :  $\mu$ . In other words, the sensitivity coefficients for pure vibrational and rotational transitions are equal to  $Q_{\mu} = 0.5$ and  $Q_{\mu} = 1$ , respectively. Besides, molecules have fine and hyperfine structures,  $\Lambda$ -doubling, hindered rotation, accidental degeneracy between narrow close-lying levels of different types, which have a specific dependence on the physical constants. The advantage of radio observations is that some of these molecular transitions are approximately 100-1000 times more sensitive to variations of  $\mu$  and/or  $\alpha$  than optical and UV transitions.

In the far infrared waveband also lie atomic finestructure transitions, which have sensitivity to  $\alpha$ variation  $Q_{\alpha} \approx 2$  [49]. We can combine observations of these lines and rotational molecular transitions to probe a combination  $F = \alpha^2 / \mu$  [50]. Besides, radioastronomical observations allow us to measure emission lines from molecular clouds in the Milky Way with an extremely high spectral resolution (channel width ~0.02 km s<sup>-1</sup>) leading to stringent constraints at the level of  ${\sim}10^{-9}$  [24]. The level  $10^{-9}$  is a natural limit for radioastronomical observations since it requires the rest frequencies of molecular transitions to be known with an accuracy better than 100 Hz. At the moment only ammonia inversion transitions and 18 cm OH A-doublet transitions have been measured in the laboratory with such a high accuracy [51, 52].

In the next sections we consider in more detail the sensitivities of different types of molecular transitions to changes in  $\alpha$  and  $\mu$ . We are mainly dealing with

molecular lines observed in microwave and submillimeter ranges in the interstellar medium, but a few lowfrequency transitions with high sensitivities are also included in our analysis just to extend the list of possible targets for future studies at the next generation of large telescopes for low-frequency radio astronomy.

# 3 Diatomic radicals in the $\Pi$ ground state: CH, OH, and NH $^+$

We start our analysis of the microwave spectra of molecules from the simplest systems — diatomic molecules with nonzero projection of the electronic angular momentum L on the molecular axis. Several such molecules are observed in the interstellar medium. Here we will mostly focus on the two most abundant species – CH and OH. Recently it was realized that  $\Lambda$ -doublet transitions in these molecules have high sensitivity to the variation of both  $\alpha$  and  $\mu$  [53–55]. There are also several relatively low frequency transitions between rotational levels of the ground state doublet  $\Pi_{1/2}$  and  $\Pi_{3/2}$  with sensitivities, which are significantly different from the typical rotational ones [56]. Then we will briefly discuss the NH<sup>+</sup> radical<sup>2</sup>, which is interesting because it has very low lying excited electronic state  ${}^{4}\Sigma^{-}$ . This leads to an additional enhancement of the dimensionless sensitivity coefficients Q [58]. The latter are defined as follows:

$$\frac{\Delta\omega}{\omega} = Q_{\alpha}\frac{\Delta\alpha}{\alpha} + Q_{\mu}\frac{\Delta\mu}{\mu}.$$
(10)

### 3.1 $\Lambda\text{-doubling}$ and $\Omega\text{-doubling}$

Consider electronic state with nonzero projection  $\Lambda$  of the orbital angular momentum on the molecular axis. The spin-orbit interaction couples electron spin *S* to the molecular axis, its projection being  $\Sigma$ . To a first approximation the spin-orbit interaction is reduced to the form  $H_{so} = A\Lambda\Sigma$ . Total electronic angular momentum  $J_e = L + S$  has projection  $\Omega$  on the axis,  $\Omega = \Lambda + \Sigma$ . For a particular case of  $\Lambda = 1$  and  $S = \frac{1}{2}$  we have two states  $\Pi_{1/2}$  and  $\Pi_{3/2}$  and the energy difference between them is:  $E(\Pi_{3/2}) - E(\Pi_{1/2}) = A$ .

Rotational energy of the molecule is described by the Hamiltonian:

<sup>&</sup>lt;sup>2</sup> NH<sup>+</sup> has not yet been detected in space, its fractional abundance in star-forming regions is estimated  $N(NH^+)/N(H_2) \lesssim 4 \times 10^{-10}$ [57].

Review Article

$$H_{\rm rot} = B(\boldsymbol{J} - \boldsymbol{J}_e)^2 \tag{11a}$$

$$= B\boldsymbol{J}^2 - 2B(\boldsymbol{J}\boldsymbol{J}_e) + B\boldsymbol{J}_e^2, \qquad (11b)$$

where *B* is the rotational constant and *J* is the total angular momentum of the molecule. The first term in expression (11b) describes conventional rotational spectrum. The last term is constant for a given electronic state and can be added to the electronic energy.<sup>3</sup> The second term describes  $\Omega$ -doubling and is known as the Coriolis interaction  $H_{\text{Cor}}$ .

If we neglect the Coriolis interaction, the eigenvectors of Hamiltonian (11) have definite projections M and  $\Omega$  of the molecular angular momentum J on the laboratory axis and on the molecular axis respectively. In this approximation the states  $|J, M, \Lambda, \Sigma, \Omega\rangle$  and  $|J, M, -\Lambda, -\Sigma, -\Omega\rangle$  are degenerate,  $E_{J,\pm\Omega} = BJ(J + 1)$ . The Coriolis interaction couples these states and removes degeneracy. New eigenstates are the states of definite parity  $p = \pm 1$  [59]:

$$|J, M, \Omega, p\rangle = (|J, M, \Omega\rangle + p(-1)^{J-S}|J, M, -\Omega\rangle)/\sqrt{2}.$$
(12)

The operator  $H_{\text{Cor}}$  can only change quantum number  $\Omega$  by one, so the coupling of states  $|\Omega\rangle$  and  $|-\Omega\rangle$  takes place in the  $2\Omega$  order of the perturbation theory in  $H_{\text{Cor}}$ .

The  $\Omega$ -doubling for the state  $\Pi_{1/2}$  happens already in the first order in the Coriolis interaction, but has additional smallness from the spin-orbit mixing. The operator  $H_{\text{Cor}}$  can not directly mix degenerate  $|\Lambda, \Sigma, \Omega\rangle$  states  $|1, -\frac{1}{2}, \frac{1}{2}\rangle$  and  $|-1, \frac{1}{2}, -\frac{1}{2}\rangle$  because it requires changing  $\Lambda$ by two. Therefore, we need to consider spin-orbit mixing of the  $\Pi$  and  $\Sigma$  states:

$$\left|\Omega = \frac{1}{2}\right\rangle = \left|1, -\frac{1}{2}, \frac{1}{2}\right\rangle + \zeta \left|0, \frac{1}{2}, \frac{1}{2}\right\rangle, \tag{13}$$

where

$$\zeta \sim A/(E_{\Pi} - E_{\Sigma}), \tag{14}$$

and then

$$\left\langle \Omega = \frac{1}{2} \left| H_{\text{Cor}} \right| \Omega = -\frac{1}{2} \right\rangle = 2\zeta B \left( J + \frac{1}{2} \right) \langle \Lambda = 1 | L_x | \Lambda = 0 \rangle.$$
(15)

Note that  $\zeta$  depends on the non-diagonal matrix element (ME) of the spin-orbit interaction and Eq. (14) is only an order of magnitude estimate. It is important, though, that non-diagonal and diagonal MEs have similar dependence on fundamental constants. We conclude that  $\Omega$ -splitting for the  $\Pi_{1/2}$  level must scale as  $ABJ/(E_{\Pi} - E_{\Sigma})$ . The  $\Omega$ -doubling for  $\Pi_{3/2}$  state takes place in the third order in the Coriolis interaction. Here  $H_{\text{Cor}}$  has to mix first states  $\Pi_{3/2}$  with  $\Pi_{1/2}$  and  $\Pi_{-3/2}$  with  $\Pi_{-1/2}$  before ME (15) can be used. Therefore, the splitting scales as  $B^3 J^3/[A(E_{\Pi} - E_{\Sigma})]$ .

The above consideration corresponds to the coupling case *a*, when  $|A| \gg B$ . In the opposite limit the states  $\Pi_{1/2}$  and  $\Pi_{3/2}$  are strongly mixed by the Coriolis interaction and spin *S* decouples from the molecular axis (coupling case *b*). As a result, the quantum numbers  $\Sigma$  and  $\Omega$  are not defined and we only have one quantum number  $\Lambda = \pm 1$ . The  $\Lambda$ -splitting takes place now in the second order in the Coriolis interaction via intermediate  $\Sigma$  states. The scaling here is obviously of the form  $B^2 J^2 / (E_{\Pi} - E_{\Sigma})$ . Note that in contrast to the previous case  $|A| \gg B$ , the splitting here is independent on *A*.

We can now use found scalings of the  $\Lambda$ - and  $\Omega$ doublings to determine sensitivity coefficients (10). We only need to recall that in atomic units  $A \propto \alpha^2$  and  $B \propto \mu$ . We conclude that for the case *a* the  $\Omega$ -doubling spectrum has following sensitivity coefficients:

State 
$${}^{2}\Pi_{1/2}$$
:  $Q_{\alpha} = 2, \quad Q_{\mu} = 1,$  (16a)

State <sup>2</sup>
$$\Pi_{3/2}$$
:  $Q_{\alpha} = -2$ ,  $Q_{\mu} = 3$ . (16b)

For the case *b*, when **S** is completely decoupled from the axis, the  $\Lambda$ -doubling spectrum has following sensitivity coefficients:

State 
$$\Pi$$
:  $Q_{\alpha} = 0$ ,  $Q_{\mu} = 2$ . (16c)

When constant *A* is slightly larger than *B*, the spin *S* is coupled to the axis only for lower rotational levels. As rotational energy grows with *J* and becomes larger than the splitting between states  $\Pi_{1/2}$  and  $\Pi_{3/2}$ , the spin decouples from the axis. Consequently, the  $\Omega$ -doubling is transformed into  $\Lambda$ -doubling. Equations (16) show that this can cause significant changes in sensitivity coefficients. The spin-orbit constant *A* can be either positive (CH molecule), or negative (OH). The sign of the  $\Omega$ -doubling depends on the sign of *A*, while  $\Lambda$ -doubling does not depend on *A* at all. Therefore, decoupling of the spin can change the sign of the splitting. In Sec. 3.2 we will see that this can lead to a dramatic enhancement of the sensitivity to the variation of fundamental constants.

<sup>&</sup>lt;sup>3</sup> Note that this term contributes to the separation between the states  $\Pi_{1/2}$  and  $\Pi_{3/2}$ . This becomes particularly important for light molecules, where the constant A is small.

#### 3.2 Intermediate coupling

The A-doubling for the intermediate coupling was studied in detail in many papers, including [63–65] (see also the book [59]). Here we use the effective Hamiltonian  $H_{\rm eff}$  from [63] in the subspace of the levels  $\Pi_{1/2}^{\pm}$  and  $\Pi_{3/2}^{\pm}$ , where upper sign corresponds to the parity *p* in Eq. (12). The operator  $H_{\rm eff}$  includes spin-rotational and hyperfine parts

$$H_{\rm eff} = H_{\rm sr} + H_{\rm hf} \,. \tag{17}$$

Neglecting third order terms in the Coriolis and spinorbit interactions, we get the following simplified form of the spin-rotational part:

$$\langle \Pi_{1/2}, J, p | H_{\rm sr} | \Pi_{1/2}, J, p \rangle = -\frac{1}{2}A + B\left(J + \frac{1}{2}\right)^2$$
 (18a)  
+  $p(S_1 + S_2)(2J + 1)$ ,

$$\langle \Pi_{3/2}, J, p | H_{\rm sr} | \Pi_{3/2}, J, p \rangle = +\frac{1}{2}A + B\left(J + \frac{1}{2}\right)^2 - 2B,$$
(18b)

$$\langle \Pi_{3/2}, J, p | H_{\rm sr} | \Pi_{1/2}, J, p \rangle = \left[ B + p S_2 \left( J + \frac{1}{2} \right) \right]$$
(18c)  
 
$$\times \sqrt{\left( J - \frac{1}{2} \right) \left( J + \frac{3}{2} \right)}.$$

Here in addition to the parameters *A* and *B* we have two parameters which appear in the second order of perturbation theory via intermediate state(s)  $\Sigma_{1/2}$ . The parameter  $S_1$  corresponds to the cross term of the perturbation theory in the spin-orbit and Coriolis interactions, while the parameter  $S_2$  is quadratic in the Coriolis interaction. Because of this  $S_1$  scales as  $\alpha^2 \mu$  and  $S_2$  scales as  $\mu^2$ . It is easy to see that the Hamiltonian  $H_{\rm sr}$  describes limiting cases  $|A| \gg B$  and  $|A| \ll B$  considered in Sec. 3.1.

The hyperfine part of the effective Hamiltonian is defined in the lowest order of perturbation theory and has the form:

$$\langle \Pi_{1/2}, J, p | H_{\rm hf} | \Pi_{1/2}, J, p \rangle = C_F [2a - b - c + p(2J + 1)d],$$
  
(19a)

$$\langle \Pi_{3/2}, J, p | H_{\rm hf} | \Pi_{3/2}, J, p \rangle = 3C_F [2a+b+c],$$
 (19b)

### annalen physik

$$\langle \Pi_{3/2}, J, p | H_{\rm hf} | \Pi_{1/2}, J, p \rangle = -C_F \sqrt{(2J-1)(2J+3)} b,$$
  
 $C_F \equiv [F(F+1) - J(J+1) \quad (19c) - I(I+1)][8J(J+1)]^{-1}.$ 

Here we assume that only one nucleus has spin and include only magnetic dipole hyperfine interaction.

The effective Hamiltonian described by Eqs. (18, 19) has 8 parameters. We use NIST values [60] for the fine structure splitting *A*, rotational constant *B*, and magnetic hyperfine constants *a*, *b*, *c*, *d*. Remaining two parameters  $S_1$  and  $S_2$  are found by minimizing the *rms* deviation between theoretical and experimental  $\Lambda$ -doubling spectra.

In order to find sensitivity coefficients  $Q_{\alpha}$  we calculate transition frequencies for two values of  $\alpha = \alpha_0 \pm \delta$  near its physical value  $\alpha_0 = 1/137.035999679(94)$ . The similar procedure is applied to  $Q_{\mu}$  at the physical value of the electron-to-proton mass ratio,  $\mu_0 = 1/1836.15267247(80)$ . We use scaling rules discussed above to recalculate parameters of the effective Hamiltonian for different values of fundamental constants. Then we use numerical differentiation to find respective sensitivity coefficient.

# 3.3 Sensitivity coefficients for $\Lambda$ -doublet transitions in CH and OH

In Ref. [55], the method described in the previous section was applied to <sup>16</sup>OH, <sup>12</sup>CH, <sup>7</sup>Li<sup>16</sup>O, <sup>14</sup>N<sup>16</sup>O, and <sup>15</sup>N<sup>16</sup>O. The molecules CH and NO have ground state <sup>2</sup> $\Pi_{1/2}$  (A > 0), while OH and LiO have ground state <sup>2</sup> $\Pi_{3/2}$  (A < 0). The ratio |A/B| changes from 2 for CH molecule [66], to 7 for OH [67], and to almost a hundred for LiO and NO. Therefore, LiO and NO definitely belong to the coupling case *a*. For OH molecule we can expect transition from case *a* for lower rotational states to case *b* for higher ones. Finally, for CH we expect intermediate coupling for lower rotational states and coupling case *b* for higher states.

Let us see how this scheme works in practice for the effective Hamiltonian (18, 19). Figure 1 demonstrates *J*-dependence of the sensitivity coefficients for CH and OH molecules. Both of them have only one nuclear spin  $I = \frac{1}{2}$ . For a given quantum number *J*, each  $\Lambda$ -doublet transition has four hyperfine components: two strong transitions with  $\Delta F = 0$  and  $F = J \pm \frac{1}{2}$  (for  $J = \frac{1}{2}$  there is only one transition with F = 1) and two weaker transitions with  $\Delta F = \pm 1$ . The hyperfine structure for OH and CH molecules is rather small and sensitivity coefficients for all hyperfine components are very close. Because of



Figure 1 Sensitivity coefficients  $Q_{\alpha}$  and  $Q_{\mu}$  for  $\Lambda$ -doublet lines with  $\Delta F = 0$  in CH and OH. The difference between lines with  $F = J + \frac{1}{2}$  and  $F = J - \frac{1}{2}$  is too small to be seen. For the state  $\Pi_{3/2}$  of OH the values for  $J = \frac{9}{2}$  are too large to be shown on the plot. They are listed in Table 1.

that Fig. 1 presents only averaged values for strong transitions with  $\Delta F = 0$ .

We see that for large values of J the sensitivity coefficients for both molecules approach limit (16c) of the coupling case b. The opposite limits (16a, 16b) are not

reached for either molecule even for smallest values of *J*. So, we conclude that the coupling case *a* is not realized. It is interesting that in Fig. 1 the curves for the lower states are smooth, while for upper states there are singularities. For CH molecule this singularity takes place for the state  $\Pi_{3/2}$  near the lowest possible value J = 3/2. A singularity for OH molecule takes place for the state  $\Pi_{1/2}$  near J = 9/2.

These singularities appear because  $\Lambda$ -splitting turns to zero. As we saw above, the sign of the splitting for the coupling case *a* depends on the sign of the constant *A*. The same sign determines which state  $\Pi_{1/2}$ , or  $\Pi_{3/2}$  lies higher. As a result, for the lower state the sign of the splitting is the same for both limiting cases, but decoupling of the electron spin S for the upper state leads to the change of sign of the splitting. Of course, these singularities are most interesting for our purposes, as they lead to large sensitivity coefficients which strongly depend on the quantum numbers. Note, that when the frequency of the transition is small, it becomes sensitive to the hyperfine part of the Hamiltonian and the sensitivity coefficients for hyperfine components may differ significantly. The sensitivity coefficients of all hyperfine components of such  $\Lambda$ -lines are given in Table 1. We can see that near the singularities all sensitivity coefficients are enhanced.

In addition to  $\Lambda$ -doublet transitions and purely rotational transitions there are also mixed transitions between rotational states of  $\Pi_{1/2}$  and  $\Pi_{3/2}$  states. The transition energy here includes the rotational and the fine structure parts. Because of that, such transitions may have different sensitivities to the variation of fundamental constants [56]. As an example, Fig. 2 shows mixed transitions in CH molecule. The sensitivity coefficients are given in Table 2. The isotopologue CD has mixed transitions of lower frequencies and higher sensitivities [56]. Similar picture takes place for OH molecule.

The molecule NH<sup>+</sup> is isoelectronic to CH and also has ground state  ${}^{2}\Pi_{1/2}$ . However, there is an important difference: for NH<sup>+</sup> the first excited state  ${}^{4}\Sigma^{-}$  lies only 340 cm<sup>-1</sup> above the ground state [68, 69]. The spin-orbit interaction between these states leads to strong perturbations of the rotational structure and of the  $\Lambda$ -doublet splittings and to an additional enhancement of the sensitivity coefficients [58]. The spectrum of NH<sup>+</sup> is shown in Fig. 3. The effective Hamiltonian is similar to the one considered above with two additional terms describing interaction between the  ${}^{2}\Pi$  and  ${}^{4}\Sigma$  states [68]:

$$\langle {}^{2}\Pi_{3/2}, J, p \mid H_{so} \mid {}^{4}\Sigma_{3/2}^{-}, J, p \rangle = -\frac{1}{2} \zeta_{3/2},$$
 (20a)

$$\langle {}^{2}\Pi_{1/2}, J, p | H_{so} | {}^{4}\Sigma_{1/2}^{-}, J, p \rangle = -\frac{1}{2\sqrt{3}} \zeta_{1/2} .$$
 (20b)



molecules. Recommended frequencies and their uncertainties are taken from [60–62].										
						ω (M	Hz)			
Molecule	Level	J	F	F'	Recom.	Uncert.	Theory	Diff.	$Q_{lpha}$	$oldsymbol{Q}_{\mu}$
<sup>12</sup> CH	$^{2}\Pi_{1/2}$	0.5	0	1	3263.795	0.003	3269.40	— 5.61	0.59	1.71
		0.5	1	1	3335.481	0.001	3340.77	— 5.29	0.62	1.70
		0.5	1	0	3349.194	0.003	3354.11	- 4.92	0.63	1.69
		1.5	1	2	7275.004	0.001	7262.25	12.75	- 0.24	2.12
		1.5	1	1	7325.203	0.001	7312.02	13.18	- 0.23	2.11
		1.5	2	2	7348.419	0.001	7335.30	13.12	- 0.22	2.11
		1.5	2	1	7398.618	0.001	7385.08	13.54	- 0.20	2.10
<sup>12</sup> CH	$^{2}\Pi_{3/2}$	1.5	2	2	701.68	0.01	682.96	18.72	- 8.44	6.15
		1.5	1	2	703.97	0.03	679.83	24.14	- 8.66	6.32
		1.5	2	1	722.30	0.03	702.98	19.52	- 8.37	6.17
		1.5	1	1	724.79	0.01	699.85	24.94	- 8.07	5.97
<sup>16</sup> OH	$^{2}\Pi_{3/2}$	1.5	1	2	1612.2310	0.0002	1595.42	16.81	- 1.27	2.61
		1.5	1	1	1665.4018	0.0002	1648.93	16.47	— 1.14	2.55
		1.5	2	2	1667.3590	0.0002	1650.66	16.70	— 1.14	2.55
		1.5	2	1	1720.5300	0.0002	1704.17	16.36	- 1.02	2.49
<sup>16</sup> OH	$^{2}\Pi_{1/2}$	0.5	0	1	4660.2420	0.0030	4638.98	21.26	2.98	0.50
		0.5	1	1	4750.6560	0.0030	4729.51	21.15	2.96	0.51
		0.5	1	0	4765.5620	0.0030	4744.50	21.06	2.96	0.51
		4.5	5	4	88.9504	0.0011	64.34	24.61	— 921.58	459.86
		4.5	5	5	117.1495	0.0011	92.35	24.80	- 699.65	349-59
		4.5	4	4	164.7960	0.0011	141.20	23.60	- 496.67	248.77
		4.5	4	5	192.9957	0.0011	169.22	23.78	- 424.05	212.68

Obviously, the parameters  $\zeta_{1/2}$  and  $\zeta_{3/2}$  scale as  $\alpha^2$ . As mentioned above, for the NH<sup>+</sup> molecule the splitting between  $\Sigma$  and  $\Pi$  states  $\Delta E_{\Sigma\Pi}$  is only about 340 cm<sup>-1</sup>. This splitting includes three contributions: the non-relativistic electronic energy difference, the relativistic corrections ( $\sim \alpha^2 Z^2$ ) and the difference in the zero point vibrational energies for the two states ( $\sim \mu^{1/2}$ ). Note that the accidental degeneracy of these levels for NH<sup>+</sup> means that the first contribution is anomalously small. Because of that, the other two contributions can not be neglected and modify the scaling of  $\Delta E_{\Sigma\Pi}$  with funda-

mental constants. This effect has to be taken into account in the calculations of the sensitivity coefficients [58].

### 4 Linear polyatomic radicals in the $\Pi$ ground state: C<sub>3</sub>H

The linear form of the molecule C<sub>3</sub>H (*l*-C<sub>3</sub>H) is similar to the molecule NH<sup>+</sup>: it also has the ground state  ${}^{2}\Pi_{1/2}$  and two closely lying states  ${}^{2}\Pi_{3/2}$  and  ${}^{2}\Sigma_{1/2}^{+}.$  Here the quasi

**Review Article** 



**Figure 2** Rotational spectrum of CH from [66]. Vertical and diagonal arrows correspond to pure rotational and mixed transitions, respectively.  $\Lambda$ -doubling is not to scale.

Frequencies (CHz) and consistivitie

and mixed transitions in CH.							
N, J, p	N', J', p'	vtheor	v <sub>expt</sub> [62]	$Q_{lpha}$	$oldsymbol{Q}_{\mu}$		
$1, \frac{3}{2}, +$	$1, \frac{1}{2}, -$	533.9	532.7	1.59	0.20		
$1, \frac{3}{2}, -$	$1, \frac{1}{2}, +$	537.9	536.8	1.57	0.22		
$2, \frac{3}{2}, +$	$1, \frac{3}{2}, -$	1477.2	1477.4	0.00	1.00		
$2, \frac{3}{2}, -$	$1, \frac{3}{2}, +$	1470.6	1470.7	-0.01	1.00		
$2, \frac{5}{2}, +$	$1, \frac{3}{2}, -$	1663.0	1661.1	0.00	1.00		
$2, \frac{5}{2}, -$	$1, \frac{3}{2}, +$	1658.8	1657.0	0.00	1.00		
$2, \frac{3}{2}, +$	$1, \frac{1}{2}, -$	2011.8	2010.8	0.42	0.79		
$2, \frac{3}{2}, -$	$1, \frac{1}{2}, +$	2007.8	2006.8	0.42	0.79		
$2, \frac{5}{2}, +$	$2, \frac{3}{2}, -$	193.1	191.1	0.01	1.03		
$2, \frac{5}{2}, -$	$2, \frac{3}{2}, +$	180.9	178.9	0.06	0.94		

degeneracy of the  $\Pi$  and  $\Sigma$  states is not accidental, but is caused by the Renner-Teller interaction. In the following section we briefly recall the theory of the Renner-Teller effect in polyatomic linear molecules [70, 71].



В



Figure 3 Spin-rotational levels of the three lowest electronic states of the molecule NH<sup>+</sup>. Panels (A) and (B) correspond to vibrational states v = 0 and v = 1 respectively. The energy levels are labeled with the quantum number J for the  $\Pi$  states and with J and N for the  $\Sigma$  state.

460

#### 4.1 Renner-Teller effect

The total molecular angular momentum of the polyatomic molecule *I* includes the vibrational angular momentum G associated with the twofold degenerate bending vibration mode(s): J = N + S = R + G + L + C*S*, where *R* describes rotation of the molecule as a whole and is perpendicular to the molecular axis  $\zeta$ . Other momenta have nonzero  $\zeta$ -projections:  $\langle G_{\zeta} \rangle = l$ ,  $\langle L_{\zeta} \rangle = \Lambda$ ,  $\langle N_{\zeta} \rangle = K = l + \Lambda$ , and  $\langle J_{\zeta} \rangle = \Omega$ .

Suppose we have  $\Pi$  electronic state  $|\Lambda = \pm 1\rangle$  and  $\nu =$ 1 vibrational state of a bending mode  $|l = \pm 1\rangle$ . All together there are 4 states  $|\Lambda = \pm 1\rangle |l = \pm 1\rangle$ . We can rewrite them as one doublet  $\Delta$  state  $|K = \pm 2\rangle$  and states  $\Sigma^+$  and  $\Sigma^{-}$ . In the adiabatic approximation all four states are degenerate. Renner [70] showed that the states with the same quantum number  $K = l + \Lambda$  strongly interact, so the  $\Sigma^+$  and  $\Sigma^-$  states repel each other, while the  $\Delta$  doublet in the first approximation remains unperturbed. We are particularly interested in the case when one of the  $\Sigma$ levels is pushed close to the ground state v = 0. This is what takes place in the l-C<sub>3</sub>H molecule [74–76].

Consider a linear polyatomic molecule with the unpaired electron in the  $\pi_{\xi}$  state in the molecular frame  $\xi, \eta, \zeta$ . Obviously, the bending energy is different for bendings in  $\xi \zeta$  and in  $\eta \zeta$  planes:  $V_{\pm} = \frac{1}{2} k_{\pm} \chi^2$  (here  $\chi$  is the supplement to the bond angle). That means that the electronic energy depends on the angle  $\phi$  between the electron and nuclear planes:

$$H' = V' \cos 2\phi \,, \tag{21}$$

where  $2V' = V_+ - V_- = k' \chi^2$ . There is no reason for V' to be small, so  $k' \sim k_{\pm} \sim 1$  a.u. and to a first approximation *k* does not depend on  $\alpha$  and  $\mu$ .

As long as interaction (21) depends on the relative angle between the electron and the vibrational planes, it changes the angular quantum numbers as follows:  $\Delta \Lambda =$  $-\Delta l = \pm 2$  and  $\Delta K = 0$ . This is exactly what is required to produce splitting between the  $\Sigma^+$  and  $\Sigma^-$  states with v = 1 as discussed above.

Interaction (21) also mixes different vibrational levels with  $\Delta v = \pm 2, \pm 4, \dots$  Thus, we have, for example, the nonzero ME  $\langle 0, 0, 1, 1 | H' | 2, 2, -1, 1 \rangle$  between states  $|v, l, \Lambda, K\rangle$ . Such mixings reduce effective value of the quantum number  $\Lambda$  and, therefore, reduce the spinorbital splitting between the  $\Pi_{1/2}$  and  $\Pi_{3/2}$  states [73],

$$H_{\rm so} \equiv A_{\rm eff} \Lambda \Sigma$$
,  $A_{\rm eff} = A \Lambda_{\rm eff} / \Lambda$ . (22)

Let us define the model more accurately. Following

$$H = H_e + T_v + AL_\zeta S_\zeta \,. \tag{23}$$

[73] we write the Hamiltonian as:

Here the "electronic" part  $H_e$  includes all degrees of freedom except for the bending vibrational mode and spin. For l-C<sub>3</sub>H there are two bending modes, but for simplicity we include the second bending mode in  $H_e$  too. Electronic MEs in the  $|\Lambda\rangle$  basis have the form:

$$\langle \pm 1 | H_e | \pm 1 \rangle = \frac{V_+ + V_-}{2} = \frac{k}{2} \chi^2,$$
 (24a)

$$\langle \pm 1 | H_e | \mp 1 \rangle = \frac{k'}{2} \chi^2 \exp\left(\mp 2i\phi\right).$$
 (24b)

Here  $\chi$  and  $\phi$  are the vibrational coordinates for the bending mode. Kinetic energy in these coordinates has the form:

$$T_{\nu} = -\frac{1}{2MR^2} \left( \frac{\partial^2}{\partial\chi^2} + \frac{1}{\chi} \frac{\partial}{\partial\chi} + \frac{1}{\chi^2} \frac{\partial^2}{\partial\phi^2} \right).$$
(25)

We can use the basis set of 2D harmonic functions in polar coordinates  $\rho = \chi R$  and  $\phi$  for the mass *M* and the force constant k:

$$\psi_{\nu,l}(\rho,\phi) = R_{\nu,l}(\rho) \frac{1}{\sqrt{2\pi}} \exp(il\phi).$$
(26)

It is important that the radial functions are orthogonal only for the same l:

$$\left\langle R_{\nu',l} | R_{\nu,l} \right\rangle = \delta_{\nu',\nu} \,. \tag{27}$$

This allows for the nonzero MEs between states with different quantum number *l*. By averaging operator (23) over vibrational functions we get:

$$\langle \nu', l' | H_e + T_{\nu} | \nu, l \rangle = \left[ \omega_{\nu} (\nu + 1) + A\Lambda S_{\zeta} \right] \delta_{\nu',\nu} \delta_{l',l}$$

$$+ \frac{1}{2} \langle R_{\nu'l'} | k' \chi^2 | R_{\nu l} \rangle \exp\left(\mp 2i\phi\right) \delta_{l',l\pm 2}.$$

$$(28)$$

The exponent here ensures the selection rule  $\Lambda' = \Lambda \mp 2$ for the quantum number  $\Lambda$  when we calculate MEs for the rotating molecule.

#### 4.2 Molecule I-C<sub>3</sub>H

We solve the eigenvalue problem for Hamiltonian (23) using the basis set of the 2D-harmonic oscillator. Our model Hamiltonian has only 3 parameters, namely  $\omega_{\nu}$ , A, and the dimensionless Renner-Teller parameter  $\mathcal{E}$ :

**Review Article** 

Table 3 Low lying energy levels for the bending mode  $\omega_{\nu} = 589 \text{ cm}^{-1}$  of l-C<sub>3</sub>H molecule and their sensitivities  $q_{\alpha}$ and  $q_{\mu}$  to the variation of  $\alpha$  and  $\mu$  respectively.  $\Delta$  is the distance from the ground state. All values are in cm<sup>-1</sup>.

						Z	Δ		
v <sub>nom</sub>	$\langle v \rangle$	K	Ω	$\langle \Lambda \rangle$	E	[72]	[73]	$q_{\mu}$	$q_{\alpha}$
0	1.22	1	0.5	0.50	367.9	0.0	0.0	187.8	—14.6
0	1.35	1	1.5	0.46	381.9	13.9	14.0	187.8	13.3
1	2.32	0	0.5	-0.01	394.2	26.3	27.0	197.3	-0.4
1	3.57	2	1.5	0.21	597.7	229.7	226.0	300.3	-6.1
1	3.65	2	2.5	0.19	603.5	235.5	232.0	300.3	5-5
1 1 1	2.32 3.57 3.65	0 2 2	0.5 1.5 2.5	-0.01 0.21 0.19	394.2 597.7 603.5	26.3 229.7 235.5	27.0 226.0 232.0	197.3 300.3 300.3	-0.4 -6.1

 $k' = \mathcal{E}k$ . The values for  $\omega_v$  and *A* for *l*-C<sub>3</sub>H are given in [73]. We varied the Renner-Teller parameter  $\mathcal{E}$  to fit five lowest levels for the given bending mode:  $\Pi_{1/2}$ ,  $\Pi_{3/2}$ ,  $\Sigma_{1/2}$ ,  $\Delta_{3/2}$ , and  $\Delta_{5/2}$ . The optimal value appeared to be  $\mathcal{E} = 0.788$ . The results are presented in Table 3. The first two columns give nominal vibrational quantum number v and its actual average value. We see that the Renner-Teller term in (28) strongly mixes vibrational states. This mixing also affects  $\langle \Lambda \rangle$  and decreases spin-orbital splittings as explained by Eq. (22).

The last two columns in Table 3 give dimensional sensitivity coefficients  $q_{\mu}$  and  $q_{\alpha}$  in cm<sup>-1</sup>:

$$\Delta E = q_{\alpha} \frac{\Delta \alpha}{\alpha} + q_{\mu} \frac{\Delta \mu}{\mu}.$$

To estimate them we assumed that the parameters scale in a following way:  $\omega_v \sim \mu^{1/2}$ ,  $A \sim \alpha^2$ , and  $\mathcal{E}$  does not depend on  $\alpha$  and  $\mu$ . The dimensionless sensitivity coefficients (10) for the transitions  $\omega_{i,k} = E_k - E_i$  can be found as:

$$Q_{i,k} = (q_k - q_i)/\omega_{i,k}.$$

In Table 4 these coefficients are calculated for the same set of parameters as in Table 3 and for the slightly different parameters which better fit experimental frequencies from [76]. We see that the sensitivity coefficients are practically the same for both sets.

For the two fine structure transitions,  $\Pi_{1/2} \longrightarrow \Pi_{3/2}$ and  $\Delta_{3/2} \longrightarrow \Delta_{5/2}$ , we get sensitivities  $Q_{\mu} = 0$  and  $Q_{\alpha} = 2$ . This may seem strange as the fine structure is significantly reduced by the Renner-Teller mixing: the finestructure parameter is 29 cm<sup>-1</sup> and the splitting between  $\Pi_{1/2}$  and  $\Pi_{3/2}$  is only 13.9 cm<sup>-1</sup>. According to (22) the mixing reduces the splitting. However, this effect de-

Table 4 $l$ -C <sub>3</sub> H sensitivity coefficients for the transitions
between states from Table 3 and for parameters $A_{ m eff}$ and
$\Delta E_{\Sigma\Pi}$ defined by (22) and (29) respectively. Frequencies are in
cm <sup>-1</sup> .

					Fit to [7	3]		Fit to [7	6]
K	Ω	<b>K</b> ′	$\Omega'$	ω	$Q_{\mu}$	Qα	ω	$Q_{\mu}$	$Q_{\alpha}$
1	0.5	1	1.5	13.9	0.00	2.00	14.4	0.00	2.00
1	1.5	0	0.5	12.4	0.78	-1.11	13.3	0.77	-1.07
0	0.5	2	1.5	203.5	0.51	-0.03	204.4	0.51	-0.03
2	1.5	2	2.5	5.8	0.00	2.00	6.0	0.00	2.00
	A	eff		13.9	0.00	2.00	14.4	0.00	2.00
	$\Delta I$	ΞΣП		19.4	0.50	0.00	20.5	0.50	0.00

pends on the dimensionless Renner-Teller parameter  $\mathcal{E}$  and does not depend on  $\mu$  and  $\alpha$ . Consequently, *the effective parameter*  $A_{\text{eff}}$  *depends on fundamental constants in the same way as initial parameter* A.

For the high frequency transition  $\Sigma_{1/2} \longrightarrow \Delta_{3/2}$ , where the spin-orbital energy can be neglected, we get  $Q_{\mu} = 0.5$  and  $Q_{\alpha} = 0$ . These results are expected, because our model has only two dimensional parameters: vibrational frequency, which is proportional to  $\mu^{1/2}$  and the fine structure parameter A, which scales as  $\alpha^2$ . Even though our vibrational spectrum is far from that of a simple harmonic oscillator, the non-diagonal MEs (28) of the Hamiltonian (23) still scale as  $\mu^{1/2}$ . Therefore, if we neglect spin-orbital splittings, we get  $Q_{\mu} = 1/2$  for all transitions. The only transition in Table 4 where the spinorbital energy and vibrational energy are close to each other is the  $\Pi_{3/2}\longrightarrow \Sigma_{1/2}$  transition. The resultant frequency is roughly half of the vibrational energy difference between the  $\Pi$  and  $\Sigma$  states. This leads to  $Q_{\mu} \approx 1$ and  $Q_{\alpha} \approx -1$ .

The spectrum of the l-C<sub>3</sub>H molecule is shown on Fig. 4. The effective Hamiltonian for the rotating molecule is similar to that of the NH<sup>+</sup> molecule. It includes the effective fine-structure parameter  $A_{\rm eff}$  and the energy difference between the  $\Sigma$  and  $\Pi$  states,

$$\Delta E_{\Sigma\Pi} = E(\Sigma^+) - \frac{E(\Pi_{1/2}) + E(\Pi_{3/2})}{2}.$$
 (29)

Numerical values for these parameter are obtained from the fit to the experimental transition frequencies. Here we only need to determine the dependence of these parameters on fundamental constants. Table 4 shows that  $A_{\rm eff} \sim \alpha^2$  and  $\Delta E_{\Sigma\Pi} \sim \mu^{1/2}$ . Once again, this is because the Renner-Teller mixing depends on the dimensionless parameter  $\mathcal{E}$  and *does not* depend on  $\alpha$  and  $\mu$ . Calculated sensitivity coefficients for the *K*-doublet transitions of the *l*-C<sub>3</sub>H molecule are listed in Tables 5 and 6. The results for the mixed transitions can be found in [72].

### 5 Tunneling modes in polyatomic molecules

In this section we consider non linear and non planar polyatomic molecules. Such molecules generally have more than one equivalent potential minimum. If the barriers between these minima are not too high the molecule can tunnel between them. Ammonia (NH<sub>3</sub>) is the best known textbook example of a nonrigid molecule (see Fig. 5). Interestingly, this molecule is also one of the most abundoned polyatomic molecules in the interstellar medium. Other important for astrophysics molecules with tunneling include hydronium (H<sub>3</sub>O<sup>+</sup>), peroxide (H<sub>2</sub>O<sub>2</sub>), methanol (CH<sub>3</sub>OH), and methylamine (CH<sub>3</sub>NH<sub>2</sub>). We will briefly discuss all of them below. All these molecules include only light atoms with  $Z \le 8$  and have singlet electronic ground states. Thus we can



**Figure 4** Spin-rotational levels of the three lowest vibronic states of the molecule *l*-C<sub>3</sub>H. *K*-doubling is indicated schematically, hyperfine structure is shown only for the two lowest *K*-doublets. Due to a strong Renner-Teller effect the component  ${}^{2}\Sigma^{+}$  of the excited bending state  $v_{4}$  (CCH bending) is shifted towards lower energies,  $\sim 29 \text{ cm}^{-1}$  above the zero-level of the ground state  ${}^{2}\Pi_{1/2}$ .



Table 5 Frequencies (MHz), sensitivity coefficients, and reduced MEs (a.u.) for some K-doubling transitions in  $\Pi_{1/2}$  state of the l-C<sub>3</sub>H molecule.

JF'p', Fp	ω	$Q_{lpha}$	$oldsymbol{Q}_{\mu}$	<i>D</i>    <sup>2</sup>
$\frac{1}{2}$ 1+, 0-	52.37	0.66(2)	1.7(2)	0.333
$\frac{1}{2}$ 0+, 1-	39.12	0.20(2)	1.9(2)	0.333
$\frac{1}{2}$ 1+, 1-	34.93	-0.02(2)	2.0(2)	0.667
$\frac{3}{2}1-, 1+$	85.55	0.65(2)	1.7(1)	0.166
$\frac{3}{2}2-, 1+$	78.60	0.55(2)	1.7(1)	0.033
$\frac{3}{2}1-, 2+$	75.23	0.43(2)	1.8(1)	0.033
$\frac{3}{2}2-, 2+$	68.29	0.30(2)	1.8(1)	0.299
$\frac{5}{2}$ 2+, 2-	107.19	0.95(2)	1.5(1)	0.132
$\frac{5}{2}$ 3+, 2-	98.97	0.89(2)	1.5(1)	0.009
$\frac{5}{2}$ 2+, 3-	98.83	0.82(2)	1.6(1)	0.009
$\frac{5}{2}$ 3+, 3-	90.61	0.75(2)	1.6(1)	0.188
$\frac{7}{2}$ 3-, 3+	112.38	1.63(2)	1.2(1)	0.105
$\frac{7}{2}4-, 4+$	96.07	1.56(2)	1.2(1)	0.136
$\frac{9}{2}$ 4+, 4-	95-75	3.22(4)	0.36(7)	0.086
$\frac{9}{2}$ 5+, 5-	79.63	3.45(4)	0.23(7)	0.105
$\frac{11}{2}5-, 5+$	52.81	9.1 (6)	-2.6 (3)	0.072
$\frac{11}{2}$ 6-, 6+	36.85	12.1 (6)	-4.1 (3)	0.085
$\frac{13}{2}$ 6-, 6+	20.25	— 34. (2)	19. (2)	0.062
$\frac{13}{2}$ 7-, 7+	36.06	— 18. (2)	11. (2)	0.071
$\frac{15}{2}$ 7+, 7-	126.59	—7.6 (2)	5.8 (4)	0.054
$\frac{15}{2}$ 8+, 8-	142.24	—6.5 (2)	5.3 (4)	0.061
$\frac{17}{2}$ 8-, 8+	268.76	-4.7 (1)	4.4(3)	0.047
$\frac{17}{2}9-, 9+$	284.25	-4.3 (1)	4.2(3)	0.053
$\frac{19}{2}$ 9+, 9-	448.75	—3.59(7)	3.8(3)	0.042
$\frac{19}{2}$ 10+, 10-	464.07	-3.39(7)	3.7(3)	0.046
$\frac{21}{2}$ 10-, 10+	668.02	-2.97(6)	3.5 (3)	0.038
$\frac{21}{2}$ 11-, 11+	683,18	-2.85(6)	3.4 (3)	0.041

neglect relativistic corrections and assume that all discussed transitions have  $Q_{\alpha} = 0$ .

It is clear that tunneling frequencies should strongly depend on the nuclear masses, and we can expect large sensitivity coefficients  $Q_{\mu,\text{tun}}$ . They can be found using

Table 6 Frequencies (MHz), sensitivity coefficients, and reduced MEs (a.u.) for some K-doubling transitions in  $\Pi_{3/2}$  state of the l-C<sub>3</sub>H molecule.

JF'p', Fp	ω	Qα	$Q_{\mu}$	$  D  ^2$
$\frac{3}{2}$ 1-, 1+	5.61	-2.63(8)	3.2 (2)	1.493
$\frac{3}{2}2-,1+$	18.50	0.49(8)	1.7 (2)	0.299
$\frac{3}{2}$ 1-, 2+	-7.30	5.28(8)	—0.6 (2)	0.299
$\frac{3}{2}2-,2+$	5.58	-2.63(8)	3.2 (2)	2.688
$\frac{5}{2}2+, 2-$	22.24	-2.60(8)	3.2 (2)	1.186
$\frac{5}{2}$ 3+, 2-	31.50	—1.35(8)	2.6 (2)	0.085
$\frac{5}{2}2+, 3-$	12.88	—5.67(8)	4.6 (2)	0.085
$\frac{5}{2}3+, 3-$	22.15	-2.60(8)	3.2 (2)	1.694
$\frac{7}{2}$ 3-, 3+	54.92	-2.57(8)	3.2 (2)	0.943
$\frac{7}{2}$ 4-, 4+	54.76	-2.57(8)	3.2 (2)	1.223
$\frac{9}{2} + -$	108.13	-2.50(8)	3.1 (2)	1.230
$\frac{11}{2} - +$	185.99	-2.46(8)	3.1 (2)	1.007
$\frac{39}{2} - +$	4266.17	—2.9 (1)	2.53(8)	0.224
$\frac{41}{2} + -$	4553.04	—3.5 (1)	2.42(5)	0.208
$\frac{43}{2} - +$	4663.43	-4.6 (2)	2.2 (1)	0.192
$\frac{45}{2} + -$	4377.16	—7.5 (2)	1.4 (3)	0.174
$\frac{47}{2} - +$	3097.96	—19.0 (4)	—2.3 (9)	0.149
$\frac{49}{2} - +$	909.06	132. (2)	53.(8)	0.103
$\frac{51}{2} - +$	19813.69	—3.11(5)	—1.6 (4)	0.116

the semi-classical Wentzel-Kramers-Brillouin (WKB) approximation. Following [77] we can write the ground state tunneling frequency in atomic units ( $\hbar = |e| = m_e = 1$ ) as:

$$\omega_{\rm tun} \approx \frac{2E_0}{\pi} e^{-S},\tag{30}$$

where *S* is the action over classically forbidden region and  $E_0$  is the ground state vibrational energy calculated from the bottom of the well  $U_{\min}$ . If the barrier is high enough the harmonic approximation gives  $2E_0 = \omega_v$ , where  $\omega_v$  is the observed vibrational frequency. In this case Eq. (30) allows to find action *S* from experimentally known frequencies  $\omega_{tun}$  and  $\omega_v$ . For lower barriers we need to know the shape of the potential to estimate  $E_0$ . The examples of these two limiting cases are am-



**Figure 5** Potential for the tunneling (umbrella) mode of the NH<sub>3</sub> molecule. Two lowest vibrational levels lie below the barrier.

monia and hydronium, where tunneling frequencies are  $0.8~{\rm cm}^{-1}$  and 55 cm<sup>-1</sup> respectively.

The action *S* depends on the tunneling mass, which in atomic units is proportional to  $\mu^{-1}$ . Differentiating (30) over  $\mu$  we get [78, 79]

$$Q_{\mu, \text{tun}} \approx \frac{1+S}{2} + \frac{SE_0}{2(\Delta U - E_0)},$$
 (31)

where  $\Delta U = U_{\text{max}} - U_{\text{min}}$  is the barrier hight. Numerical solution of the Schrödinger equation for realistic potentials agrees with this WKB expression within few percent for all molecules considered so far.

#### 5.1 Ammonia

Equations (30), (31) show that sensitivity coefficient logarithmically depends on the tunneling frequency. For example, for the symmetric isotopologues of ammonia we get:

NH<sub>3</sub>: 
$$\omega_{tun} = 24 \text{ GHz}, \quad Q_{\mu,tun} = 4.5$$
, (32a)

ND<sub>3</sub>: 
$$\omega_{tun} = 1.6 \text{ GHz}, \quad Q_{\mu,tun} = 5.7.$$
 (32b)

Such a weak dependence on the tunneling frequency limits possible values of the sensitivity coefficients for tunneling transitions in the microwave range:  $Q_{\mu,\text{tun}} \lesssim 8$ . This is quite good, compared to the rotational sensitivity  $Q_{\mu,\text{rot}} \approx 1$ , but smaller than the best sensitivities in linear molecules considered above.

Let us consider mixed tunneling-rotational transitions, where tunneling goes along with the change of the rotational quantum numbers. If we neglect interaction between tunneling and rotational degrees of freedom we can write approximate expressions for the frequency and the sensitivity of the mixed inversion-rotational transition:

$$\omega_{\rm mix} = \omega_r \pm \omega_{\rm tun} \,, \tag{33a}$$

$$Q_{\mu,\text{mix}} = \frac{\omega_r}{\omega_{\text{mix}}} \pm Q_{\mu,\text{tun}} \frac{\omega_{\text{tun}}}{\omega_{\text{mix}}} \,. \tag{33b}$$

We are particularly interested in the case when the minus sign in (33) is realized and  $\omega_{mix} \ll \omega_{tun}$ . For this case the tunneling sensitivity is enhanced by the factor  $\omega_{tun}/\omega_{mix} \gg 1$  and resultant sensitivity of the mixed transition is inversely proportional to the transition frequency  $\omega_{mix}$ . Therefore, for the mixed transitions we can have much higher sensitivities in the observable frequency range, then for the purely tunneling transitions.

Another important advantage of the mixed transitions is that there are usually many of them each having different sensitivity. This means that we can have very good control on possible systematics and reliably estimate the accuracy of the results for  $\mu$ -variation.

The mixed transitions can not be observed in the symmetric isotopologues of ammonia (32), but they are observed in the partly deuterated species  $NH_2D$  and  $NHD_2$ . Unfortunately, for both of them the tunneling frequency is much smaller then all rotational frequencies and sensitivities (33b) are not large [80]:

$$\begin{array}{ll} \mathrm{NH}_2\mathrm{D}: & 0.10 \leq Q_{\mu,\mathrm{mix}} \leq 1.61 \,, \\ \mathrm{NHD}_2: & 0.27 \leq Q_{\mu,\mathrm{mix}} \leq 1.54 \,. \end{array} \tag{34}$$

Relatively small sensitivity coefficients for deuterated isotopologues of ammonia (34) and their low abundance does not allow to get strong limits on  $\mu$ -variation, so we need to use tunneling ammonia line (32a). It was observed from the several objects with the redshifts about unity. Measuring radial velocities for rotational lines and for the ammonia tunneling line we have  $\Delta Q = 3.5$  in Eq. (8), which is two orders of magnitude larger than for optical lines. Because of that the ammonia method allowed to place more stringent bounds on  $\mu$ -variation than bounds, which follow from the optical spectra of the hydrogen molecule. However, recent observations of the molecules with mixed tunneling-rotational transitions provide even higher sensitivity to  $\mu$ -variation.

#### 5.2 Mixed tunneling-rotational transitions and effective Hamiltonians

Equations (33) show that high sensitivity mixed transitions are possible when tunneling frequency is of the same order of magnitude as rotational constants. However, in this case tunneling and rotational degrees of freedom start to interact and the accuracy of approximation (33) decreases. A much better approximation can be reached with the help of the effective Hamiltonians, which describe rotational and tunneling degrees of freedom and their interactions with each other. At present the state of the art effective Hamiltonians can include on the order of hundred parameters. These parameters are fitted to the experimentally known transitions and provide an accuracy on the ppm scale, or better.

When such Hamiltonians are used to find sensitivity coefficients  $Q_{\mu}$  we need to know how all the parameters depend on  $\mu$ . It was shown in [25] that this can usually be done only within an accuracy of a few percent. The final accuracy for the large *Q*-factors is somewhat lower because of the instability of Eq. (33b). Because of that we need not complex effective Hamiltonians but their simplified versions with considerably smaller numbers of fitting parameters can be used instead.

#### 5.3 Hydronium and peroxide

Let us start with hydronium molecule  $H_3O^+$  [81]. This molecule is a symmetric top. It is similar to ammonia, but flatter. Tunneling frequency is almost 50 times larger and comparable to rotational intervals. The tunneling umbrella mode does not change the symmetry and does not contribute to the angular momentum of the molecule. Because of that the tunneling-rotational interaction is reduced to the centrifugal corrections to the tunneling frequency [82].

The tunneling-rotational spectrum of hydronium is shown in Fig. 6. It consists of the *J* ladders for each quantum number *K*, where *K* is projection of the angular momentum on the molecular axis. Due to the tunneling each rotational level is split in two states with different parity *p*. For K = 0 the permutation symmetry of the hydrogen nuclei allows only one of these levels, while for K > 0 both levels are present.

In Fig. 6 we see four mixed transitions with frequencies around 300 GHz, which is few times smaller than the tunneling frequency that is about 1.6 THz. Table 7 shows that these transitions have enhanced sensitivity to  $\mu$  variation ( $Q_{\mu,\text{tun}} = 2.0 \pm 0.1$ ). Those transitions, whose

frequencies decrease when tunneling frequency increases have negative sensitivity coefficients  $Q_{\mu}$ . We conclude that hydronium has several mixed transitions with sensitivities of both signs and the maximum  $\Delta Q_{\mu}$  is around 10. Other isotopologues of hydronium have even higher sensitivities [79], but up to now they have not been observed in the interstellar medium.

Another molecule where tunneling frequency is comparable to rotational constants, but tunneling-rotational interaction is rather weak, is peroxide H<sub>2</sub>O<sub>2</sub> [83, 84]. In equilibrium geometry H<sub>2</sub>O<sub>2</sub> is not flat; the angle  $2\gamma$  between two HOO planes is close to 113°. Two flat configurations correspond to local maxima of potential energy; the potential barrier for *trans* configuration ( $2\gamma = \pi$ ) is significantly lower, than for *cis* configuration ( $\gamma = 0$ ):  $U_{\pi} \approx 400 \text{ cm}^{-1}$  and  $U_0 \approx 2500 \text{ cm}^{-1}$ . To a first approximation one can neglect the tunneling through the higher barrier. In this model peroxide is described by a slightly asymmetric oblate top with inversion tunneling mode, similar to ammonia and hydronium.

The sensitivity coefficients for the mixed transitions in peroxide were calculated in [86]. Results of these calculations are shown in Table 8. Molecular states are labeled with the rotational quantum numbers J,  $K_A$ , and  $K_C$  and the tunneling quantum number  $\tau$  [83]. Transitions with the frequencies below 100 GHz were found to



**Figure 6** Tunneling-rotational spectrum of  $H_3O^+$  molecule. Several low-frequency tunneling and mixed transitions are marked with vertical arrows. Their frequencies are shown in GHz.

Table 7 Sensitivities of the low frequency mixed inversion-rotational transitions in hydronium  $H_3O^+$ . Molecular states are labeled with quantum numbers  $J_k^p$ .

Transition		Frequer	ncy (MHz)	
Upper	Lower	Theory	Exper.	$oldsymbol{Q}_{\mu}$
$1_{1}^{-}$	$2_{1}^{+}$	307072	307192.4	6.4(5)
$3^{+}_{2}$	$2^{-}_{2}$	365046	364797.4	-3.5(5)
$3_{1}^{+}$	$2^1$	389160	388458.6	-3.1(4)
$3_{0}^{+}$	$2_{0}^{-}$	397198	396272.4	-3.0(4)
$0_{0}^{-}$	$1^+_0$	984690	984711.9	2.7(2)
$4_{3}^{+}$	$3_{3}^{-}$	1031664	1031293.7	-0.6(2)
$4_{2}^{+}$	$3_{2}^{-}$	1071154	1069826.6	-0.5(2)
$3^{-}_{2}$	$3^{+}_{2}$	1621326	1621739.0	2.0(1)
$2^1$	$2^+_1$	1631880	1632091.0	2.0(1)
$1^{-}_{1}$	$1_{1}^{+}$	1655832	1655833.9	2.0(1)

Table 8 Numerical calculation of the Q-factors for low frequency mixed transitions in peroxide H<sub>2</sub>O<sub>2</sub> using effective Hamiltonian. Experimental frequencies are taken from JPL Catalogue [61].  $E_{up}$  is upper state energy in Kelvin.

$J_{K_A,K_C}(\tau)$			ω (Ν	ИНz)				
upper	lower	<i>E</i> up (К)	theory	exper.	$Q_{\mu}$			
Transitions below 100 GHz								
$0_{0,0}(3)$	$1_{1,0}(1)$	17	14818.8	14829.1	+36.5(2.9)			
$2_{1,1}(1)$	$1_{0,1}(3)$	21	37537.0	37518.28	—13.0(1.2)			
$1_{0,1}(3)$	$1_{1,1}(1)$	19	67234.5	67245.7	+8.8(6)			
$2_{0,2}(3)$	$2_{1,2}(1)$	24	68365.3	68385.0	+8.7(6)			
$3_{0,3}(3)$	$3_{1,3}(1)$	31	70057.4	70090.2	+8.5(6)			
$4_{0,4}(3)$	$4_{1,4}(1)$	41	72306.0	72356.4	+8.3(6)			
$5_{0,5}(3)$	$5_{1,5}(1)$	53	75104.6	75177.4	+8.0(6)			
$6_{0,6}(3)$	$6_{1,6}(1)$	68	78444.7	78545.4	+7.7(6)			
$3_{1,2}(1)$	$2_{0,2}(3)$	28	90399.8	90365.51	-4.8(5)			
Transition	s observed f	rom ISM in [	35]					
$3_{0,3}(3)$	$2_{1,1}(1)$	31	219163.2	219166.9	+3.4(2)			
$6_{1,5}(1)$	$5_{0,5}(3)$	66	252063.6	251914.68	—1.1(2)			
$4_{0,4}(3)$	$3_{1,2}(1)$	41	268963.7	268961.2	+3.0(2)			
$5_{0,5}(3)$	$4_{1,3}(1)$	53	318237.7	318222.5	+2.7(1)			

have rather high sensitivities of both signs. Several transitions of peroxide were recently observed from interstellar medium (ISM) in [85]. These transitions have higher frequencies and smaller sensitivities to  $\mu$ -variation. Nevertheless, even for these transitions the maximum value of  $\Delta Q_{\mu}$  is about 4.5.

# 5.4 Molecules with hindered rotation: methanol and methylamine

Hindered rotation is one of the examples of the large amplitude internal motions in non rigid molecules. In the discussion of the peroxide molecule in the previous subsection, we neglected the tunneling through the higher *cis* barrier. For the excited vibrational states tunneling through both barriers can take place leading to the hindered rotation of one HO group in respect to another. Many molecules which include CH<sub>3</sub> group have three equivalent minima at  $120^{\circ}$  to each other. Hindered rotation in such molecules can take place already for the ground vibrational state. When the tunneling frequencies are comparable to the rotational ones, such molecules have very rich microwave spectra with a large number of mixed transitions. Another distinctive feature of these molecules is strong interaction between the internal (hindered) and overall rotations. One of the simplest molecules of this type is methanol CH<sub>3</sub>OH.

The basic theory of the non-rigid tops with internal rotation was established in the 1950s [87, 88] and the main features of the methanol spectrum were explained. Later on the theory was refined many times and currently there is a very impressive agreement between the theory and experiment [89–92].

The sensitivity coefficients to the  $\mu$ -variation for methanol microwave transitions were calculated independently in [93, 94] and in [25]. The first group used the state of the art effective Hamiltonian [91], which included 120 fitting parameters. The second group used a much simpler model [95]. The rotational part  $H_{\rm rot}$  was that of the slightly asymmetric top and included the rotational constants *A*, *B*, and *C* ( $A \approx B$ ). The hindered rotation was described by the Hamiltonian

$$H_{\rm hr} = -F \frac{{\rm d}^2}{{\rm d}\omega^2} + \frac{V_3}{2} \left(1 - \cos 3\omega\right) \,, \tag{35}$$

where the kinetic coefficient *F* was proportional to  $\mu$  and the electronic potential  $V_3$  was independent on  $\mu$ . The angle  $\omega$  described position of the OH group in respect to the CH<sub>3</sub> top. This model did not include centrifugal dis-



Figure 7 Comparison of the sensitivity coefficients for CH<sub>3</sub>OH from [93] and [25]. The former used the sensitivity coefficients  $K_{\mu}$  defined as  $K_{\mu} = -Q_{\mu}$ . This corresponds to the different definition of the mass ratio:  $m_{\rm p}/m_{\rm e}$  instead of  $m_{\rm e}/m_{\rm p}$ , which is used in the present review.

tortions. The interaction of the internal rotation with the overall rotation was described by a single parameter *D*, which scaled linearly with  $\mu$  [87]. Altogether this model had 6 parameters.

Both effective Hamiltonians were diagonalized for several sets of parameters, which correspond to an increased and decreased  $\mu$  and the sensitivity coefficients were found by the numerical differentiation. The comparison of the two calculations is given in Fig. 7. We see that in spite of a significant difference in complexity of the models the results are in good agreement and of the comparable accuracy. As we discussed above, the latter is mostly determined by ambiguity in the  $\mu$ -scaling of model parameters.

The sensitivity coefficients for the mixed transitions in methanol span from -17 to +43, which corresponds to  $|\Delta Q_{\mu}| \sim 60$ . This is more than an order of magnitude larger than in ammonia method. Moreover, in methanol we have a large number of strong lines with different sensitivities and can effectively control possible systematic effects. Until very recently methanol was observed only at small redshifts, but in 2011 it was first detected in the microwave survey towards the object PKS 1830-211 at redshift z = 0.89 [96]. This means that at present methanol can be used as a very

annalen physik



**Figure 8** Schematic representation of methylamine and variation of the potential energy of methylamine as function of the relative rotation  $\gamma$  of the CH<sub>3</sub> group with respect to the amine group about the CN bond and the angle  $\tau$  of the two hydrogen atoms of the NH<sub>2</sub> group with respect to the CN bond. The two large amplitude motions, corresponding to inversion  $h_{2\nu}$  and hindered rotation  $h_{3\nu}$  are schematically indicated by the arrows. Note that inversion of the NH<sub>2</sub> group is accompanied by a  $\pi/3$  rotation about the CN bond of the CH<sub>3</sub> group with respect to the amine group.

sensitive tool to probe  $\mu$ -variation on a cosmological timescale [23, 97].

In the same survey [96], a large number of rather complex molecules were detected for the first time at high redshift. In particular, the list includes methylamine yet another molecule with tunneling motion. In contrast to all previously discussed molecules, methylamine has two tunneling modes. First is hindered rotation of the NH<sub>2</sub> around CH<sub>3</sub> top, which is similar to that in methanol. Second is a wagging mode when the NH<sub>2</sub> group flips over to the other side (see Fig. 8). Both modes contribute to the angular momentum of the molecule and, therefore, strongly interact with the overall rotation.

The spectrum of methylamine is also very rich. The effective Hamiltonian must include both tunneling motions and their interactions with each other and with the overall rotation. Therefore, even the simplest form of this Hamiltonian is quite complex and we will not discuss it here. Calculations of the sensitivity coefficients were recently done in [98]. It was found that they lie in the range  $-24 \le Q_{\mu} \le 19$ . However, the lines, which were observed in [96] at z = 0.89 have sensitivities close to 1. Up to now neither of the more sensitive lines of methylamine has been observed at high redshifts.

There are several other molecules with mixed tunneling-rotational spectra, for example  $N_2H_4$  and  $CH_3SH$ . The former has three tunneling modes which strongly interact with rotation. Thus, we should expect

very complex spectrum. This molecule is predicted to form in Jupiter's and Titan's atmospheres [99, 100]. The latter is similar to methanol and exhibits hindered rotation and complex spectrum [101]. Effective Hamiltonians for many of these molecules are known, but no other calculations of the sensitivity coefficients have been done so far (preliminary results for CH<sub>3</sub>SH show that there are transitions with high sensitivities of both signs). If any new sufficiently low frequency mixed transitions are observed from the interstellar medium, it is possible to calculate respective sensitivity coefficients using the methods outlined in this section.

### 6 Summary and conclusions

As we discussed in the previous sections the constraints on the possible variation of fundamental constants are an efficient method of testing the equivalence principle which is a basic assumption of General Relativity. These constraints can be derived from a wide variety of atomic and molecular transitions observed in laboratory, solar and extra solar systems, and at very early cosmological epochs up to a redshift of order  $z \sim 5 - 6$  where molecular and atomic transitions have been recently detected and observed with a sufficiently high spectral resolution [14, 15]. Radio astronomical observations of the NH<sub>3</sub> molecule in two distant galaxies provide tight constraints

468

at the  $\Delta \mu/\mu < 1 \times 10^{-6}$  level at z = 0.89 [102] and z =0.69 [13]. Even deeper bounds were deduced from observations of the CH<sub>3</sub>OH molecule in the z = 0.89 galaxy:  $\Delta \mu/\mu < 3 \times 10^{-7}$  [97], and  $\Delta \mu/\mu < 1 \times 10^{-7}$  [23].

To probe  $\alpha$  and  $\mu$  at the level of  $10^{-8}$  or  $10^{-9}$ , at least two main requirements should be fulfilled: (i) increasing precision of the laboratory measurements of the rest frame frequencies of the most sensitive molecular transitions discussed in this review, and (ii) increasing sensitivity and spectral resolution of astronomical observations.

The most promising molecular transitions are those of a mixed nature, where there are two, or more, competing contributions to the transition energy. We get strong enhancement of the sensitivity to the variation of the fundamental constants when the resultant transition frequency is much smaller than individual contributions. This happens, for example, for some mixed tunnelingrotational transitions. Diatomic radicals give another example, where spin-orbit interaction is competing with Coriolis interaction. As a result we have strong enhancement of the sensitivity coefficients for the  $\Lambda$ -doublet transitions. There are other known examples, which are more relevant for the laboratory experiments [103,104]. It is possible that more examples will be found both for the laboratory and astrophysical studies. The methods described in this review allow us to calculate sensitivity coefficients for any microwave and submillimeter molecular transitions of interest.



Коzlov received his Ph.D. degree in Physics 1982 from Petersburg Physics (PNPI) Russia where he is currently a Research He is also a Professor of Physics at St. Petersburg Elec-

trotechnical University. Kozlov's research interests include atomic and molecular theory, fundamental symmetries, and fundamental constants.



Sergei A. Levshakov received his Ph.D. degree in Physics 1982 from the Physical-Technical Institute (PTI), St. Petersburg (Russia) where he is currently a Leading Research Scientist. He is also a Professor of Physics

annalen physik

**Review** Article

at St. Petersburg Electrotechnical University. Levshakov's research interests include astrophysics and space science, atomic and molecular spectroscopy, chemical evolution and the origin of the elements, and data analysis.

### References

- [1] R. P. Feynman, QED: the Strange Theory of Light and Matter (Princeton, NJ, Princeton Univ. Press, 1985), p. 129.
- [2] E. A. Milne, Proc. Roy. Soc. A. 158, 324 (1937).
- [3] P.A. M. Dirac, Nature 139, 323 (1937).
- [4] A. Cingöz et al., Phys. Rev. Lett. 98, 040801 (2007).
- Yu. V. Petrov et al., Phys. Rev. C 74, 064610 (2006). [5]
- [6] T. Rosenband et al., Science 319, 1808 (2008).
- A. Shelkovnikov, R. J. Butcher, C. Chardonnet, and A. [7] Ami-Klein, Phys. Rev. Lett. 100, 150801 (2008).
- S. Blatt et al., Phys. Rev. Lett. 100, 140801 (2008). [8]
- [9] M. C. Ferreira, M. D. Julião, C. J. A. P. Martins, and A. M. R. V. L. Monteiro, Phys. Rev. D 86, 125025 (2012).
- V. V. Flambaum, Int. J. Mod. Phys. A 22, 4937 (2007). [10]
- [11] P. Molaro, D. Reimers, I. I. Agafonova, and S. A. Levshakov, Eur. Phys. J. Special Topics 163, 173 (2008).
- [12] I. I. Agafonova, P. Molaro, S. A. Levshakov, and J. L. Hou, Astron. Astrophys. 529, A28 (2011).
- [13] N. Kanekar, Astrophys. J. 728, L12 (2011).
- S. A. Levshakov et al., Astron. Astrophys. 540, L9 [14](2012).
- [15] L. Lentati et al., Mon. Not. R. Astron. Soc. 430, 2454 (2013).
- [16] S. J. Landau, M. E. Mosquera, C. G. Scóccola, and H. Vucetich, Phys. Rev. D 78, 083527 (2008).
- [17]C. J. A. P. Martins et al., Phys. Rev. D 82, 023532 (2010).
- [18] M. Nakashima, K. Ichikawa, R. Nagata, and J. Yokoyama, J. Cos. Astropart. Phys. 01, 030 (2010).
- [19] V. V. Flambaum and E. V. Shuryak, Phys. Rev. D 65, 103503 (2002).
- [20] A. Coc et al., Phys. Rev. D 86, 043529 (2012).

- [21] J. K. Webb et al., Phys. Rev. Lett. 107, 191101 (2011).
- [22] H. Rahmani et al., 2012, Mon. Not. R. Aastron. Soc. 425, 556 (2012).
- [23] J. Bagdonaite et al., Science 339, 46 (2013).
- [24] S. A. Levshakov et al., 2010, Astron. Astrophys. 512, A44 (2010).
- [25] S. A. Levshakov, M. G. Kozlov, and D. Reimers, Astrophys. J. **738**, 26 (2011).
- [26] S. Ellingsen, M. Voronkov, and S. Breen, Phys. Rev. Lett. 107, 270801 (2011).
- [27] T. Damour, 2012, Class. Quant. Gravity 29, 184001 (2012).
- [28] J.-P. Uzan, Living Reviews in Relativity 14, 2 (2011).
- [29] M. Raidal et al., Eur. Phys. J. C 57, 13 (2008).
- [30] T. Fukuyama, Int. J. Mod. Phys. A 27, 30015 (2012).
- [31] V. V. Fritzsch, Uspekhi Fiz. Nauk 52, 359 (2009).
- [32] V. A. Dzuba, V. V. Flambaum, and J. K. Webb, Phys. Rev. Lett. 82, 888 (1999).
- [33] V. A. Dzuba, V. V. Flambaum, and J. K. Webb, Phys. Rev. A 59, 230 (1999).
- [34] J. C. Berengut et al., in: From Varying Couplings to Fundamental Physics, edited by C. Martins and P. Molaro (Springer-Verlag, Berlin, 2011), p. 9.
- [35] D. A. Varshalovich and S. A. Levshakov, J. Exp. Theor. Phys. 58, 231 (1993).
- [36] V. V. Meshkov, A. V. Stolyarov, A. V. Ivanchik, and D. A. Varshalovich, J. Exper. Theor. Phys. Lett. 83, 303 (2006).
- [37] W. Ubachs, R. Buning, K. Eikema, and E. Reinhold, J. Mol. Spectrosc. 241, 155 (2007).
- [38] E. J. Salumbides et al., Phys. Rev. A 86, 022510 (2012).
- [39] S. G. Porsev et al., Phys. Rev. A 76, 052507 (2007).
- [40] G. Nave and S. Johansson, Astrophys. J. Suppl. **204**, 1 (2013).
- [41] S. A. Levshakov, M. Centurión, P. Molaro, and S. D'Odorico, Astron. Astrophys. 434, 827 (2005).
- [42] K. Griest et al., Astrophys. J. **708**, 158 (2010).
- [43] J. B. Whitmore, M. T. Murphy, and K. Griest, Astrophys. J. 723, 89 (2010).
- [44] I. I. Agafonova et al., Astron. Astrophys. 552, A83 (2013).
- [45] E. J. Salumbides et al., Phys. Rev. Lett. 101, 223001 (2008).
- [46] P. Noterdaeme et al., Astron. Astrophys. **523**, A80 (2010).
- [47] S. A. Levshakov, M. Dessauges-Zavadsky, S. D'Odorico, and P. Molaro, Mon. Not. R. Astron. Soc. 333, 373 (2002).
- [48] M. Wendt and P. Molaro, Astron. Astrophys. **541**, A69 (2012).
- [49] M. G. Kozlov et al., Phys. Rev. A 77, 032119 (2008).
- [50] S. A. Levshakov et al., Astron. Astrophys. 479, 719 (2008).
- [51] S. G. Kukolich, Phys. Rev. **156**, 83 (1967).
- [52] E. R. Hudson, H. J. Lewandowski, B. C. Sawyer, and J. Ye, Phys. Rev. Lett. **96**, 143004 (2006).
- [53] J. N. Chengalur and N. Kanekar, Phys. Rev. Lett. 91, 241302 (2003).
- [54] J. Darling, Phys. Rev. Lett. 91, 011301 (2003).

- [55] M. G. Kozlov, Phys. Rev. A 80, 022118 (2009).
- [56] A. J. de Nijs, W. Ubachs, W., and H. L. Bethlem, Phys. Rev. A 86, 032501 (2012).
- [57] C. M. Persson et al., Astron. Astrophys. 543, A145 (2012).
- [58] K. Beloy et al., Phys. Rev. A 83, 062514 (2011).
- [59] J. M. Brown and A. Carrington, Rotational Spectroscopy of Diatomic Molecules (Cambridge University Press, Cabmridge, 2003).
- [60] F. J. Lovas et al., Diatomic Spectral Database (2005) (http://www.physics.nist.gov/PhysRefData/ Mol-Spec/Diatomic/index.html)
- [61] H. M. Pickett et al., J. Quant. Spectrosc. Rad. Transfer 60, 883 (1998) (http://spec.jpl.nasa.gov).
- [62] H. S. P. Müller, F. Schlöder, J. Stutzki, and Winnewisser, J. Mol. Struct. 742, 215 (2005) (http://www.astro.uni-koeln.de/site/vorhersagen).
- [63] W. L. Meerts and A. Dymanus, J. Mol. Spec. 44, 320 (1972).
- [64] J. M. Brown, G. A. Colbourn, J. K. G. Watson, and F. D. Wayne, J. Mol. Spec. 74, 294 (1979).
- [65] J. M. Brown and A. J. Merer, J. Mol. Spec. 74, 488 (1979).
- [66] S. Davidson, K. M. Evenson, and J. M. Brown, Astrophys. J. 546, 330 (2001).
- [67] M. A. Martin-Drumel et al., Chem. Phys. Lett. **550**, 8 (2012).
- [68] K. Kawaguchi and T. Amano, J. Chem. Phys. 88, 4584 (1988).
- [69] H. Hübers, K. M. Evenson, C. Hill, and J. M. Brown, J. Chem. Phys. 131, 034311 (2009).
- [70] R. Renner, Zeitschrift für Physik 92, 172 (1934).
- [71] J. T. Hougen, J. Chem. Phys. **36**, 519 (1962).
- [72] M. G. Kozlov, Phys. Rev. A 87, 032104 (2013).
- [73] M. Perić, M. Mladenović, K. Tomić, and C. M. Marian, J. Chem. Phys. **118**, 4444 (2003).
- [74] S. Yamamoto et al., Astrophys. J. 348, 363 (1990).
- [75] M. Kanada, S. Yamamoto, S. Saito, and Y. Osamura, J. Chem. Phys. **104**, 2192 (1996).
- [76] M. Caris et al., J. Mol. Spect. **253**, 99 (2009).
- [77] L. D. Landau and E. M. Lifshitz, Quantum mechanics (Oxford, Pergamon, 1977).
- [78] V. V. Flambaum and M. G. Kozlov, Phys. Rev. Lett. 98, 240801 (2007).
- [79] M. G. Kozlov, S. G. Porsev, and D. Reimers, Phys. Rev. A 83, 052123 (2011).
- [80] M. G. Kozlov, A. V. Lapinov, and S. A. Levshakov, J. Phys. B 43, 074003 (2010).
- [81] M. G. Kozlov and S. A. Levshakov, Astrophys. J. 726, 65 (2011).
- [82] S. Yu, B. J. Drouin, J. C. Pearson, and H. M. Pickett, Astrophys. J. Suppl. 180, 119 (2009).
- [83] J. T. Hougen, Canadian J. Phys. 62, 1392 (1984).
- [84] F. Masset et al., J. Phys. France **49**, 1901 (1988).
- [85] P. Bergman et al., Astron. Astrophys. **531**, L8 (2011).
- [86] M. G. Kozlov, Phys. Rev. A 84, 042120 (2011).
- [87] C. C. Lin and J. D. Swalen, Rev. Mod. Phys. 31, 841 (1959).
- [88] D. R. Herschbach, J. Chem. Phys. 31, 91 (1959).



- [89] T. Anderson, F. De Lucia, and E. Herbst, Astrophys. J. Suppl. 72, 797 (1990).
- [90] H. S. P. Müller, L. H. Xu, and F. van der Tak, J. Mol. Struc. 795, 114 (2006).
- [91] L. Xu et al., J. Mol. Spec. **251**, 305 (2008).
- [92] I. Kleiner, J. Mol. Spec. 260, 1 (2010).
- [93] P. Jansen et al., Phys. Rev. Lett. **106**, 100801 (2011).
- [94] P. Jansen et al., Phys. Rev. A 84, 062505 (2011).
- [95] D. Rabli and D. R. Flower, Mon. Not. R. Astron. Soc. 403, 2033 (2010).
- [96] S. Muller et al., Astron. Astrophys. **535**, A103 (2011).

- [97] S. P. Ellingsen, M. A. Voronkov, S. L. Breen, and J. E. J. Lovell, Astrophys. J. 747, 7 (2012).
- [98] V. V. Ilyushin et al., Phys. Rev. A 85, 032505 (2012).
- [99] J. I. Moses, A. D. Sperier, and T. C. Keane, Bull. Am. Astron. Soc. 40, 459 (2008).
- [100] G. P. Smith, Bull. Am. Astron. Soc. 42, 970 (2010).
- [101] F. L. Bettens et al., Astrophys. J. **510**, 789 (1999).
- [102] C. Henkel et al., Astron. Astrophys. **500**, 725 (2009).
- [103] C. Chin, V. V. Flambaum, and M. G. Kozlov, New J. Phys. 11, 055048 (2009).
- [104] H. L. Bethlem and W. Ubachs, Faraday Discussions **142**, 25 (2009).

### +++ NEW +++ NEW +++ NEW +++ NEW +++ NEW +++ NEW +++



2013. XIV, 481 pages, Softcove 222 figures ISBN 978-3-527-41216-7

Register now for the free WILEY-VCH Newsletter! www.wiley-vch.de/home/pas HANS-JÜRGEN BUTT, KARLHEINZ GRAF and MICHAEL KAPPL Physics and Chemistry of Interfaces

The third edition of this excellent textbook for advanced students in material science. chemistry, physics, biology, engineering, or for researchers needing background knowledge in surface and interface science. The general yet comprehensive introduction to this field focuses on the essential concepts rather than specific details, on intuitive understanding rather than learning facts. The text reflects the many facets of this discipline by linking physical fundamentals, especially those taken from thermodynamics, with application-specific topics. Similarly, the theory behind important concepts is backed by clearly explained scientific-engineering aspects, as well as by a wide range of highend applications from microelectronics

and biotechnology. Manifold high-end applications from surface technology, biotechnology, and microelectronics are used to illustrate the basic concepts. New to this edition are such hot topics as second harmonic generation spectroscopy, surface diffusion mechanisms and measurement of surface diffusion, optical spectroscopy of surfaces, atomic layer deposition, superlubricity, bioadhesion, and spin coating. At the same time, the discussions of liquid surfaces, the Marangoni effect, electric double layer, measurement of surface forces, wetting, adsorption, and experimental techniques have been updated, while the number and variety of exercises are increased, and the references updated.

WILEY-VCH • P.O. Box 10 11 61 • 69451 Weinheim, Germany Fax: +49 (0) 62 01 - 60 61 84 e-mail: service@wiley-vch.de • http://www.wiley-vch.de

